

WHO CAN SERVE AS A PRINCIPAL INVESTIGATOR AND OTHER ELIGIBILITY REQUIREMENTS

PI Eligibility Requirements

It is the expectation of UMKC that the Principal Investigator (PI) on a research application is a member of UMKC or University Health (UH) as faculty, staff, or workforce member who bears responsibility for the leadership of a research project. The Principal Investigator is responsible for directing the research and compliance with relevant University policies, TMC policies, and applicable federal regulations including those that govern human subjects research.

Any individuals holding one of the following UMKC positions can be a PI and submit applications to the IRB, IACUC, or IBC:

- Faculty members of all types.
- Volunteer faculty – specially designated and approved by a department.
- Research scientists and research associates.
- Adjuncts, Assistant Directors, and other professional staff may be eligible for PI status in certain circumstances.
- Emeritus Appointments:
 - Each unit shall decide if Emeritus appointees will be allowed to submit proposals. If yes, the Emeritus Professor must have an active job in PeopleSoft HR (generally courtesy faculty).
- Retirees:
 - Members of the Faculty who are retired may serve as PI if they are in an active pay status/appointment and there is at least one academic unit willing to provide the necessary administrative commitment to permit the research to be carried out.

Any individuals holding one of the following UH positions can be a PI and submit applications to the IRB, IACUC, or IBC:

- TMC individuals typically eligible to serve as PI include Physicians, Nurse Practitioners, licensed Nurses, and other professionally licensed personnel.

Due to the nature of collaborations with our clinical affiliate institutions, non-employee faculty members may also serve as PIs.

When a sponsor recognizes more than one PI, one will be designated as the “Principal Investigator” who shall be the individual who assumes institutional responsibility for the overall project and with whom the Research Compliance Office will communicate for administrative matters.

It is possible for persons who are not UMKC employees to serve as PIs if they receive approval from an academic unit.

PI Ineligible Positions

The following individuals are NOT eligible to serve as sole, primary PIs on research projects; however, these individuals may serve as Co-PIs with a lead PI who meets all the eligibility requirements outlined herein:

- Unfunded research faculty without a Research Faculty Agreement,
- Faculty Affiliates who are not employees of the University,
- Visiting scholars who are not employees of the University,
- Postdoctoral scholars/fellows (see below), and
- Graduate and undergraduate students.

Exceptions may also include:

- A visiting scholar or new faculty member who has accepted a position at the University but has not yet arrived (include start date), or affiliate.

UMKC Center/Institute appointed Fellows

- To serve as a PI through UMKC, UMKC Center/Institute Fellows with a UMKC Courtesy appointment, through a UMKC academic unit, and 0.0 FTE must secure a PI Eligible collaborative investigator. The PI-eligible collaborator must commit to serving as the primary investigator for institutional purposes.

Disclaimer

- The committee reserves the right to deny Principal Investigator status to University employees it deems unsuitable.